

PATENT

(Practitioner's Docket No. IN-5571 )

#3

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Guido WILKE

Serial No.: This application is a National  
Phase of Patent Application  
PCT/EP00/09754 filed 05. October 2000.

Group Art Unit: Not Assigned

Filed: March 28, 2002

Examiner: Not Assigned

For: ELECTRICALLY CONDUCTING HYDROPRIMER FOR PLASTICS

Commissioner of Patents  
Washington, D.C. 20231

## INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicant(s) submit(s) herewith patents, publications, or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose in accordance with 37 C.F.R. §1.56.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g) ), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner.

- ☒ Submitted herewith is Form PTO-1449 (Modified) listing patents, publications, or other information for consideration by the Office.

**COPIES PROVIDED:**

- ☒ Legible copies of all items listed on Form PTO-1449 accompany this information statement, except as indicated below:
- ☐ Under 35 U.S.C. §120, this application relies on the earlier filing date of prior application Serial No. [ ], filed on [ ]. The following references were submitted to and/or cited by the Office on this prior application and are therefore not required to be provided in this application:
- ☐ In accordance with 37 C.F.R. §1.98(c), a copy of only [ ] is being submitted with this Information Disclosure Statement, and is cumulative of the following patents or publications listed on Form PTO-1449:

**FOREIGN LANGUAGE DOCUMENTS**

- ☐ There are no foreign language documents listed on Form PTO-1449.

Information Disclosure Statement  
Page 2

- ☒ The following non-English language references were cited in the specification. The English language equivalents for these references are being submitted in substitution for the non-English references.

EP531510	WO92/17546
EEP089497	4,489,135
EP256540	4,880,867
EP260447	4,851,460
EP234361	4,945,128
EP228003	4,914,148
EP397806	5,370,910
EP574417	5,368,944
EP581211	5,342,882
EP593454	5,601,880
EP234362	5,075,372
EP543817	5,760,128
EP649865	5,571,861
EP669356	5,569,705
EP678536	5,654,391
EP521928	5,334,420
EP522419	5,691,425
EP730613	6,001,424
DE19644615	CA2240674
EP523610	CA2073814
EP634431	CA2127761
EP522420	CA2073115
EP536712	CA2078650
EP596460	CA2102169
EP596461	CA2102170
EP584818	CA2104845
EP708788	PCT/EP94/01956

- ☒ English language abstract for the following non-English references are enclosed.

DE4328092	Abstract
EP297576	Abstract
EP593454	Abstract

- ☐ Submitted herewith is an English translation of the following foreign language patents, publications or information or of portions of those patents, publications or information considered to be material:
- ☐ No English language translations of the foreign language patents, publications or information or parts thereof are readily available, except for those listed above.

Information Disclosure Statement  
Page 3

- ☒ The following foreign language documents submitted are believed to be the equivalent or substantial equivalent of the English language documents identified below, which are also submitted herewith:

BASF Lacke + Farben AG. et al., PCT/EP94/01956, entitled "Process for the preparation of polyurethane resins and their use and the use of ethoxyethyl prepionate for the preparation of polyurethane resins
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- ☒ Submitted herewith is an English language version of a search report listing information not in the English language and indicating the degree of relevancy found by the European Patent Office, in satisfaction of the requirement (under 37 C.F.R. §1.98(a)(3)) for a concise explanation of the relevance of non-English information.

- ☐ A concise explanation of the relevance of the following listed non-English language information is included as presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information as set forth below:

- ☐ A concise explanation of the relevance of the following listed non-English language information is set forth in the above-identified specification:

**CERTIFICATION:**

- ☒ The Information Disclosure Statement transmitted herewith is being filed **within** three (3) months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, whichever event occurs last. 37 C.F.R. §1.97(b). Accordingly, it is believed that no certification or fee is due.

- ☐ The Information Disclosure Statement transmitted herewith is being filed **after** three (3) months of the filing date of the application or the date of entry into the national stage of an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but **before** the mailing date of either a final action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311, whichever occurs first. Accordingly, I hereby certify that:

- ☐ Each statement of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of the statement; 37 C.F.R. §1.97(e)(1); **OR**

- ☐ That no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge as the person signing this certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three (3) months prior to the filing of this statement; 37 C.F.R. §1.97(e)(2); **OR**

- ☐ Applicant elects the option to pay the fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under 37 C.F.R. §1.97(c). Please charge the **\$230.00** IDS submission fee to BASF Corporation **Deposit Account No. 23-3425**. A duplicate of this paper is enclosed.

- ☐ The Information Disclosure Statement transmitted herewith is being filed **After a Final Action** under 37 C.F.R. §1.113, or **After a Notice of Allowance** under 37 C.F.R. §1.311, whichever occurs first, but **Before Payment of the Issue Fee**. Accordingly, I hereby certify that:

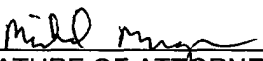
Information Disclosure Statement  
Page 4

- ☐ Each statement of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of the statement; 37 C.F.R. §1.97(e)(1); **OR**
- ☐ That no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge as the person signing this certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three (3) months prior to the filing of this statement; 37 C.F.R. §1.97(e)(2); **AND**
- ☐ Applicant hereby petitions for consideration of this Information Disclosure Statement; 37 C.F.R. §1.97(d)(2)(ii). Please charge the petition fee of **\$130.00** to BASF Corporation **Deposit Account No. 23-3425**. A duplicate of this paper is enclosed.

The Commissioner is authorized to charge BASF Corporation Deposit Account No. 23-3425 for any additional fees due hereunder.

Respectfully submitted,

Reg. No.: 42,906

  
SIGNATURE OF ATTORNEY

Michael F. Morgan  
Type or print name of attorney

Tel. No.: ( 248 ) 948-2355

BASF Corporation  
26701 Telegraph Road  
P.O. Address

Customer No. 26922

Southfield, Michigan 48034-2442

FORM PTO-1449  
(MODIFIED)US DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

Atty. Docket No. IN-5571

JC13 R

PCT/PTO 28 MAR 2002

**LIST OF ART CITED BY APPLICANT**  
(Use sever of sheets if necessary)

In re Application of: WILKE

Serial No.: Herewith

Filed: Thursday, March 28, 2002

**U.S. PATENT DOCUMENTS**

Examiner initial		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,342,882	8/30/94	Göbel et al.	524	832	7/22/93
	AB	5,601,880	2/11/97	Schwarte et al.	427	407.1	8/5/94
	AC	5,075,372	12/24/91	Hille et al.	524	839	2/6/87
	AD	5,569,705	10/29/96	Vogt-Birnbrich et al.	524	591	2/27/95
	AE	4,945,128	7/31/90	Hille et al.	524	591	2/6/87
	AF	5,691,425	11/25/97	Klein et al.	525	455	7/2/92
	AG	5,571,861	11/5/96	Klein et al.	524	591	10/21/94
	AH	4,489,135	12/18/84	Drexler et al.	428	423.1	2/18/83
	AI	4,880,867	11/14/89	Gobel et al.	524	507	8/19/87
	AJ	6,001,424	12/14/99	Lettmann et al.	427	407.1	11/18/94
	AK	5,334,420	8/2/94	Hartung et al.	427	407.1	3/13/91
	AL	5,368,944	11/29/94	Hartung et al.	428	423.1	2/1/92
	AM	5,654,391	8/5/97	Göbel et al.	528	71	4/20/95
	AN	5,370,190	12/6/94	Bigham	172	40	6/28/93
	AO	4,914,148	4/3/90	Hille et al.	524	507	12/11/86
	AP	4,851,460	7/25/89	Stranghöner et al.	523	407	8/18/87
	AQ	5,696,196	12/9/97	DiLeo	524	445	9/15/95
	AR	5,370,910	12/6/94	Hille et al.	427	407.1	78/24/89
	AS	6,001,915	12/14/99	Schwarte et al.	524	457	10/18/95
	AT	5,334,420	8/2/94	Hartung et al.	427	407.1	3/13/91
	AU	5,760,128	6/2/98	Baltus et al.	524	591	2/27/91
	AV						
	AW						
	AX						
	AY						

EXAMINER

DATE CONSIDERED

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*Applicant has modified this form by deleting the word "prior".

10/089482

FORM PTO-1449  
(MODIFIED)US DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

Atty. Docket No. IN-5571

26 MAR 2002

**LIST OF ART CITED BY APPLICANT**  
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In re Application of: WILKE

Serial No.: Herewith

Filed: Thursday, March 28, 2002

**FOREIGN PATENT DOCUMENTS**

Examiner initial		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
/	AA	WO9514721	01.06.95	WO	C08F	290/14		X
	AB	WO9612747	02.05.96	WO	C08F	285/00		X
	AC	CA2078650	09.10.92	CA	C09D	133/00	X	
/	AD	CA2102169	93.11.01	CA	C09D	175/14	X	
	AF	CA2240674	21.10.97	CA	C09D	175/04	X	
	AG	CA2104845	25.08.93	CA	C09D	161/00	X	
	AH	CA2127761	11.07.94	CA	C09D	175/04	X	
	AI	CA2073115	03.07.92	CA	C09D	175/14	X	
/	AJ	CA2102170	07.05.94	CA	C09D	133/00	X	
	AK	WO97/49745	31.12.97	WO	C08G	18/08	X	
	AA	WO92/17546	15.10.92	WO	C08L	75/04	X	
	AB	EP401565	16.05.90	EP	C09D	5/02	X	
	AC	EP590484	22.09.93	EP	C08G	18/08	X	
	AD	EP299148	13.04.88	EP	C08G	18/08	X	
	AF	EP354261	11.08.88	EP	C08G	18/50	X	
	AG	EP394737	09.04.90	EP	C09D	175/06	X	
	AH	EP424705	04.10.90	EP	C08F	283/00	X	
	AI	CA2240674	97.10.21	CA	C09D	175/04	X	
/	AJ	DE19644615	12.03.98	DE	C09D	175/0		X
	AK	CA2073814	16.07.92	CA	C09D	163/00	X	
	AL	WO97/9747	31.12.97	WO	C08G	18/75	X	

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(Use sever of sheets if necessary)

In re Application of: WILKE

Serial No.: Herewith

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**OTHER ART** (Including Author, Title, Date, Pertinent Pages, Etc.)

✓	AA	English Abstract for DE4328092
✓	AB	English Abstract for EP593454
	AC	English Abstract for EP297576
	AD	English Abstract on front pages of the International Publication WO96/12747
	AE	English Abstract on front pages of the International Publication WO95/14721
✓	AF	DATABASE WPI, Section Ch, Week 199339, Derwent Publications Ltd., London, GB, Class A14, NA 1993-306189, XP002160053
✓	AG	DATABASE WPI, Section Ch, Week 198723, Derwent Publications Ltd., London, GB, Class A25, NA 1997-160457, XP002160054
	AH	BASF Lacke + Farben AG. et al., PCT/EP94/01956, entitled "Process for the preparation of polyurethane resins and their use and the use of ethoxyethyl prepionate for the preparation of polyurethane resins
	AI	
	AJ	
	AK	
	AL	
	AM	
	AN	
	AO	

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